

Attorney Docket No.: MED03-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles D. Lennox, Steven M. Johnson, Susan Beinor, Maria Benson, Don Paul Nogueira, John W. Carroll, and Helen Maslocka
Serial No.: 10/706,327
For: METHOD AND DEVICE FOR RAPIDLY INDUCING AND THEN MAINTAINING HYPOTHERMIA
Filing Date: November 12, 2003
Examiner: Unassigned
Art Unit: 3736
Conf. No.: 6437

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP AMENDMENT**, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on:

Date: January 27, 2005

By: Mary A. Maietta

(Typed or printed name of person mailing Document, whose signature appears below)

Signature: Mary A. Maietta

MAIL STOP AMENDMENT

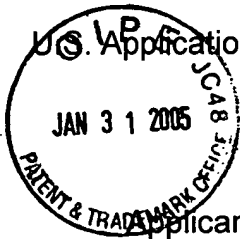
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed is/are:

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Information Disclosure Statement, Total Pages: 3;
- [x] PTO-1449 Form, Total Pages: 1;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required.



U.S. Application No.: 10/706,327

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Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

Jeffrey J. Duquette Esq.
U.S.P.T.O. Registration No.: 45,487
Attorney for Applicant
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Attorney Docket No.: MED03-11

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission
after Final Rejection);

☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing
national application; or date of entry of the national stage in international
application; or before mailing date of first office action on the merits;
whichever occurs last);

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- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
 - ☐ a Petition under 37 CFR 1.97(d)(2), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
 - ☐ Applicant requests that the attached IDS and cited reference(s) be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is Form PTO-1449:

- ☐ Copy of the cited references are enclosed except for those which qualify under 37 CFR 1.98(a)(2)(i).
- ☐ Copy of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

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- [X] Pursuant to the Official Gazette Notice of August 5, 2003 the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication is waived for all U.S. national patent applications filed after June 30, 2003.

Concise Explanation Requirement (required for non-English references):

- [] The "concise explanation" requirement for reference(s) under 37 CFR 1.98(a)(3) is satisfied by:
- [] the explanation provided on the attached sheet.
 - [] the explanation provided in the Specification.
 - [] submission of the enclosed International Search Report.
 - [] the enclosed English language abstract.

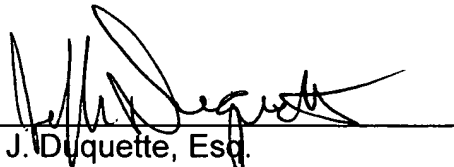
It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- [X] No fee is required for this Information Disclosure Statement.
- [] Enclosed is a check for \$180.00, which covers the Information Disclosure Fee.
- [] A copy of this Statement is enclosed.

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

Respectfully submitted,



Jeffrey J. Duquette, Esq.
U.S.P.T.O. Registration No.: 45,487
Attorney for Applicant
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Facsimile: (508) 616-9805

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Dated: January 27, 2005

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.

APPLICATION SERIAL NO.

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

MED03-11

10/706,327

APPLICANT

Charles D. Lennox, et al.

FILING DATE

November 12, 2003

GROUP

3736

U. S. PATENT DOCUMENTS

| EXAM- INER INI- TIAL | | DOCUMENT NUMBER | DATE mm/dd/yy | NAME | CLASS | SUB-CLASS | FILING DATE IF APPROPRIATE |
|-------------------------------|----|-----------------|------------------|--------------------|-------|-----------|----------------------------------|
| | AA | US 4,770,169 | 09/13/88 | Schmoegner, et al. | 128 | 207.13 | 02/13/87 |
| | AB | US 5,486,207 | 01/23/96 | Mahawili | 607 | 104 | 09/20/94 |
| | AC | US 5,697,920 | 12/16/97 | Gibbons | 604 | 289 | 01/16/96 |
| | AD | US 6,406,447 | 06/18/02 | Thrash, et al. | 601 | 160 | 03/05/97 |
| | AE | US 6,656,208 B2 | 12/02/03 | Grahn, et al. | 607 | 104 | 04/20/01 |
| | AF | US 6,602,277 B2 | 08/05/03 | Grahn, et al. | 607 | 108 | 06/07/01 |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO |
|--|--|-----------------|------|---------|-------|-----------|-----------------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

